



Our **i**ntelligence
working for you



Thomson Reuters Regulatory Intelligence Desktop

Our **i**ntelligence working for you



THOMSON REUTERS®

**Our intelligence
working for you**



Niall Coburn
**Senior Regulatory
Intelligence Expert**
Asia-Pacific

Complexities and challenges of the regulatory environment

As the world's leading source of intelligent information for businesses and professionals, we intrinsically understand the complexities and challenges you face within the regulatory environment:

- Constantly shifting expectations of regulatory bodies
- Rapidly increasing number of regulatory developments
- Rising costs of compliance

With over 57,000 regulatory alerts in 2018

A typical financial services organization deals with an average of 223 – at times conflicting – regulatory developments on a daily basis. Each carries potential risk and can leave your organization vulnerable if the risk is not properly managed and mitigated. The consequences of noncompliance, which include personal liability, significant fines and reputational damage to the organization, are more severe than ever before.

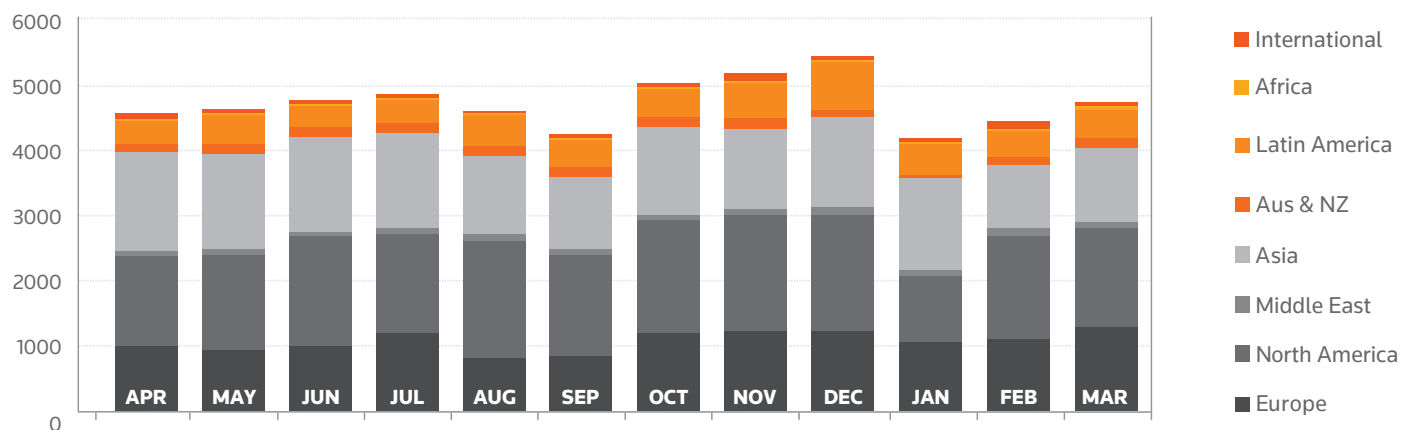
According to the results of Thomson Reuters 2019 Cost of Compliance Survey, compliance practitioners continue to identify managing and coping with continuing regulatory change as their biggest challenge¹ between “Survey” and “while also balancing other compliance tasks such as interaction with regulators, regulatory reporting, compliance monitoring, compliance training and leading on implementing cultural change.”

Additionally, efforts to comply can no longer be reactive; compliance professionals have to be proactive and anticipate the developments that lie ahead. You need to take swift action to assess the impact of regulatory change on your organization, report to the board and put appropriate plans in place.

All this means that you face a rapidly changing and overwhelmingly complex regulatory environment, a landscape which is set to endure into the future.

REGULATORY ALERTS

The average number of monthly regulatory alerts produced by Thomson Reuters Regulatory Intelligence



Introducing Thomson Reuters Regulatory Intelligence

Thomson Reuters Regulatory Intelligence is a market leading solution that empowers you to make well-informed decisions to confidently manage regulatory risk, while providing the tools to make proactive decisions and action change within your organization. It has been developed with a full understanding of your compliance needs – locally and globally, today and in the future.

Thomson Reuters Regulatory Intelligence combines world-class content with advanced technology to deliver a unique one-stop regulatory intelligence solution.

Anticipate and navigate the global regulatory environment with confidence

Thomson Reuters Regulatory Intelligence delivers a focused view of the global regulatory environment, enabling you to manage regulatory risk with confidence using the most comprehensive and trusted intelligence available.

With Thomson Reuters Regulatory Intelligence you can anticipate and navigate the regulatory environment with greater ease. Our solution cuts through the complexity and sheer volume of regulatory content by providing clarity on what is most important to your organization, in a cost-effective way.

You have access to an easy-to-use interface, intuitive tools and features, and the most comprehensive collection of regulatory intelligence content, ensuring you keep up to date with the global regulatory environment.

Thomson Reuters Regulatory Intelligence combines world-class content with advanced technology to deliver a unique one-stop regulatory intelligence solution which provides:

- Unsurpassed breadth, depth and quality of regulatory intelligence with global coverage of over 1,000 regulatory bodies and more than 2,500 collections of regulatory and legislative materials
- Targeted news, analysis and practical guidance from our global team of regulatory experts and journalists
- Customized “My Updates” delivering you relevant regulatory authority notifications, pending events, analysis and practical guidance
- Exclusive taxonomy that targets critical upcoming regulatory events with powerful search functionality
- Community feature connecting you with your peers and a community of compliance and regulatory experts to unlock information
- Customizable regulatory events calendar ensuring your awareness of vital regulatory deadlines
- Enhanced reporting, allowing you to share information across your organization with easy-to-use features to support your internal audit practices





**Our intelligence
working for you**

Alexander Robson
Editor in Chief
Regulatory Intelligence
London

Stacey English
Head of Regulatory
Intelligence
London

A single solution for all your regulatory intelligence

Thomson Reuters Regulatory Intelligence provides all the regulatory intelligence you need, along with helpful tools and a user-friendly interface for easy navigation.

Our globally sourced content forms one of the most thorough and rich repositories of regulatory information in the world.

Advanced search:

Easy navigation and searching to efficiently and quickly access the specific information required

Email alerts:

Automatic updates on regulatory developments as they happen, with advance notification of changes so you have time to proactively plan your responses

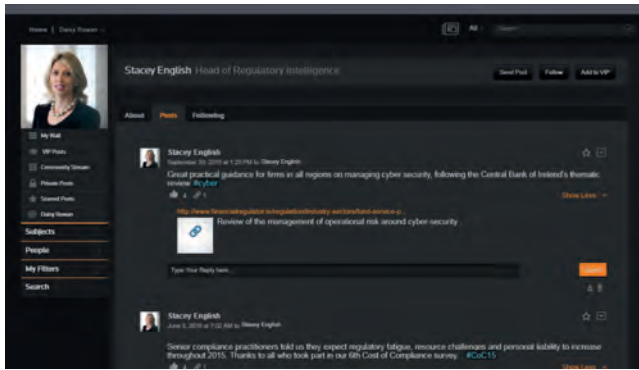
My Updates:

Automated tracking of regulatory developments, managed by custom filters to keep constant track of relevant information

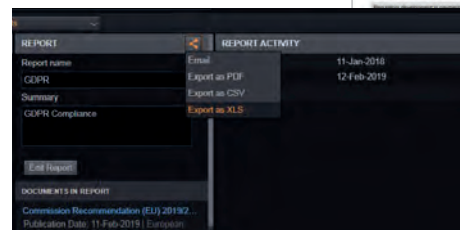
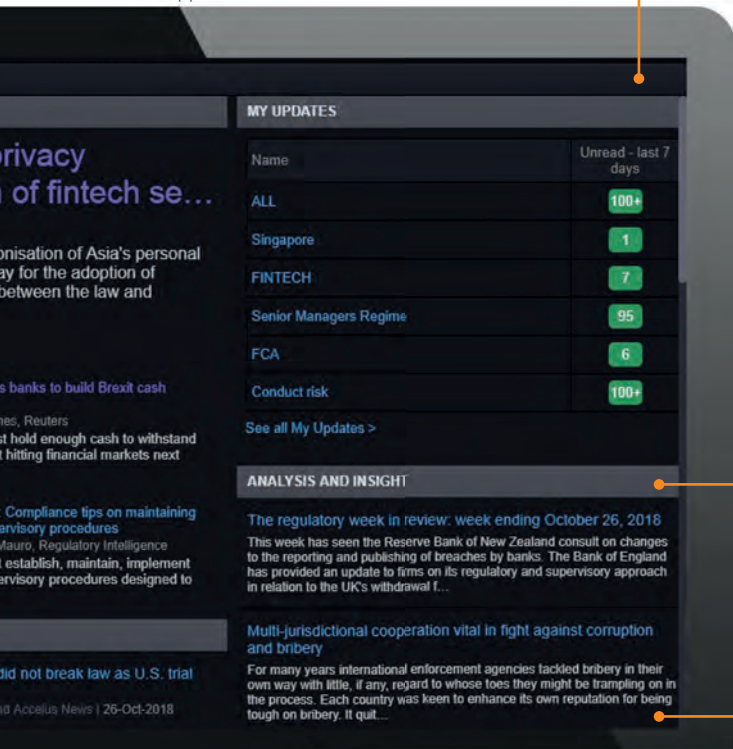
Calendar:

View current and upcoming regulatory developments ensuring you don't miss regulatory deadlines





Community: Connect with leading regulatory experts as well as your peers and be a part of the conversation as it happens



Share: Disseminate information across the organization directly via email

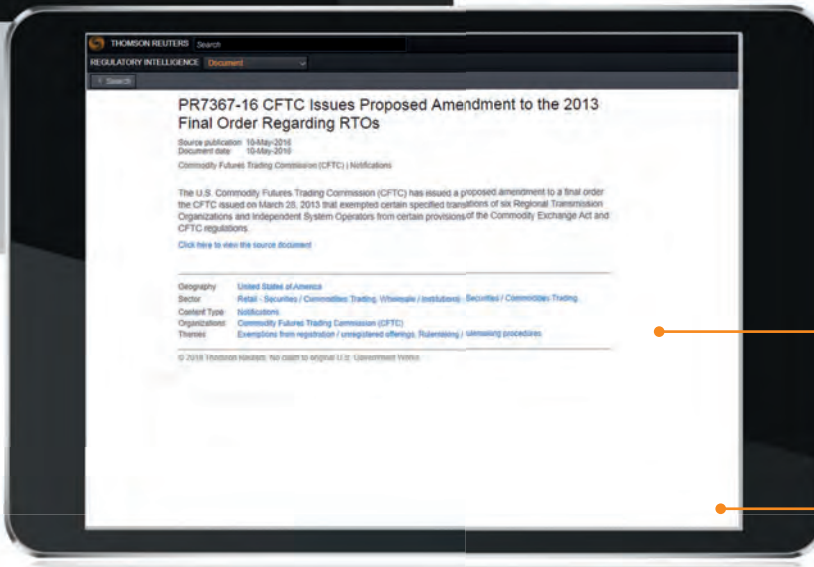
Reports: Create and manage reports for board and organization-wide reporting, saving you considerable time

Reuters Insider:

Engaging and insightful video content on the issues affecting the global financial and insurance markets

Expert thought leadership:

Access to exclusive thought leadership including special reports, white papers and surveys



News, Analysis & Practical Guidance:

Thomson Reuters Regulatory Intelligence journalists, editors, former compliance officers, industry practitioners and lawyers provide insight and analysis on regulatory developments

Mobile device capability:

Access information from anywhere



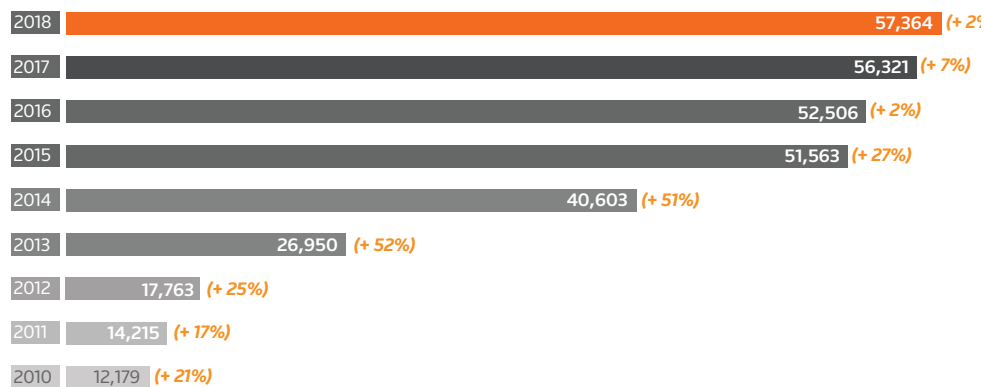
Concise information for a focused view

Content within Thomson Reuters Regulatory Intelligence has been configured to ensure you can easily find the information required to get clarity on what is most important to your organization. This simplifies the research process so you don't have to spend time sifting through vast amounts of irrelevant information.

All content within Thomson Reuters Regulatory Intelligence can be filtered using the following five parameters, enabling you to set up time-saving customized updates, alerts and calendars:

Geography	Sector	Content Type	Organizations	Themes
Europe	Banking	Legislative Materials	Central Banks	Entity Establishment & Governance
Africa	Insurance	Executive Materials	Courts & Tribunals	Internal Oversight
Asia	Securities & Commodities	Regulatory Materials	Government Departments & Ministries	Business Activities
North America	Healthcare (U.S. only)	Editorial Summaries & Analysis	International & Regional Bodies	Business Conduct Standards
Australia & Oceania		Secondary Sources	Legislative Bodies	Regulatory Structure & Oversight
Middle East			Publishers	
Latin America & Caribbean			Regulators	
			SROs, Exchanges, ATSS & Clearing Agencies	
			Trade Associations	

Each parameter can be filtered even further by up to two sublevels to give you as much granularity as you need.



REGULATORY ALERTS

The yearly increase in regulatory alerts produced by Thomson Reuters Regulatory Intelligence

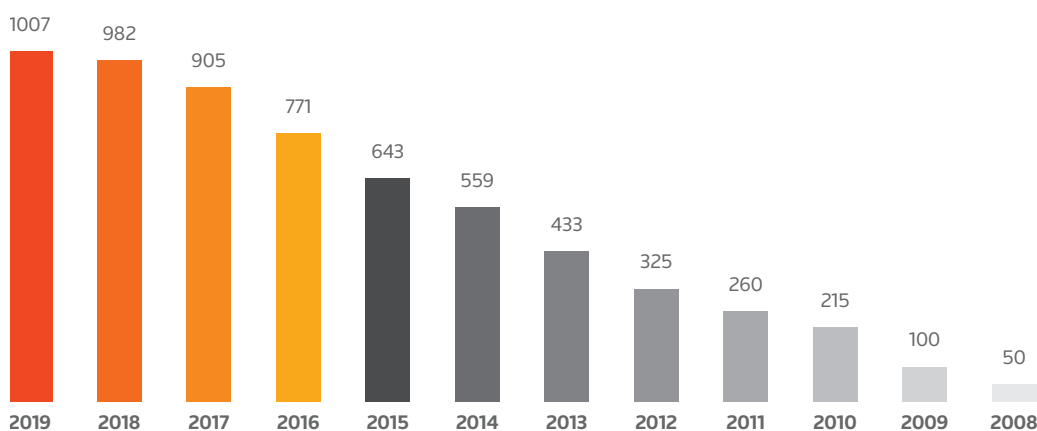


Breadth and depth of information

Thomson Reuters Regulatory Intelligence currently tracks and monitors over 1000 regulatory bodies and more than 2,500 collections of regulatory and legislative materials globally. A variety of content types are accessible from this central knowledge base:

Content Covered

Legislative Material	Executive Material	Regulatory Material	Comments & feedback	Editorial Summary and Analysis	Secondary Sources
Statutes	Attorney general opinions	Regulations	SRO/Exchange materials	Latest updates	Treatises
Enacted legislation	Executive orders	Final regulations	Policy documents	Tracker alerts	Forms
Pending bills	Governor vetoes	Emergency regulations	Public notices	Regulatory guidance	Checklists
EU directives & regulations	Governor messages	Temporary regulations	Announcements & news releases	Handbooks	
Committee reports	Presidential speeches	Pending regulations	Speeches	Document abstracts	
Testimony & debate		Guidance & interpretation	Enforcements	Subordinate legislation indexes	
		Discussion & consultation documents	Agency materials	Reuters news	
				Expert analysis	
				Expert guidance	
				Special reports	
				Country guides	



ORGANIZATIONS MONITORED

The number of regulatory organizations monitored by Thomson Reuters Regulatory Intelligence

Expert, trusted knowledge

The expertise and knowledge of our regulatory intelligence experts and editorial team are second to none. Their expertise means that as well as having access to the latest regulatory developments, you are able to understand the actual impact of these developments on your organization.



Our dedicated in-house editorial and regulatory intelligence team is further deepened by worldwide Reuters news coverage and supported by a network of third-party experts around the world.

*Together, our regulatory specialists provide independent, practical analysis and thought leadership. The team includes both regulators and the regulated with experienced former regulators and compliance officers who **understand the spirit as well as the detail of the rules**. They have held positions as enforcement lawyers, supervisors, investigators, risk managers, policymakers as well as internal auditors. The experience also covers acting as special advisers to regulators and the authorization to conduct third-party reviews as consultants.*

*Thomson Reuters Regulatory Intelligence content includes in-depth, practical analysis setting out **key issues that you need to know and the steps you need to take**, through to investigative and comparative analysis of complex developments and regulatory requirements. You have easy access to quick guides, briefings, special reports and video updates. Analysis is driven by regulatory events; developments and legislative change, including key deadlines and industry hot topics and based on source regulatory publications and court records.*

*Our journalists have deep industry specific knowledge and extensive networks to draw on to capture the latest regulatory news, opinion and emerging issues. They report directly from key conferences, events and the centers of policy making, to share emerging developments, industry thinking and experiences, and are often **first to report on breaking news** that you need to know.*

*The team provides global coverage of developments with experts and journalists located in key financial centers providing the **latest updates across all time zones**. Our extensive oversight and day-to-day tracking of over 1,000 regulatory bodies enables our experienced experts and journalists to identify emerging themes and diverging regulatory practices while sharing best practices and challenges for firms worldwide.*



Stacey English

Head of Regulatory Intelligence

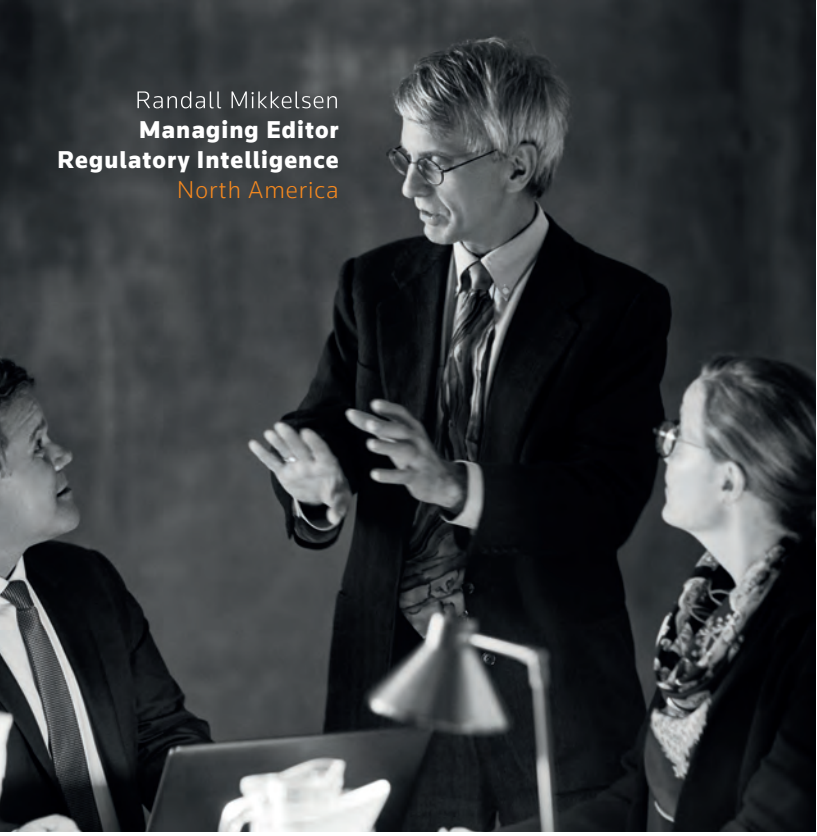


Alexander Robson

Editor-in-Chief



Randall Mikkelsen
Managing Editor
Regulatory Intelligence
North America



Todd Ehret
Senior Regulatory
Intelligence Expert
New York



Susannah Hammond
Senior Regulatory
Intelligence Expert
London





Niall Coburn
**Senior Regulatory
Intelligence Expert**
Asia-Pacific

Nathan Lynch
**Asia-Pacific Bureau Chief
Financial Crime & Risk**
Sydney

**Our intelligence
working for you**

For more information, contact your representative or visit us
online at:

[https://www.thomsonreuters.in/en/products-services/
tax-accounting/regulatory-intelligence](https://www.thomsonreuters.in/en/products-services/tax-accounting/regulatory-intelligence)

Email us at: aem.contact@thomsonreuters.com